

FACTS

WHAT DOES FINANCIAL SYNERGIES WEALTH ADVISORS DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the service you have with us. This information can include:

- Social Security number and income
- Account balances and transaction history
- Health information and credit history

When you are *no longer* our client, we continue to share your information as described in this notice.

How?

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Financial Synergies Wealth Advisors chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Financial Synergies Wealth Advisors share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your accounts, respond to court orders and legal investigations, or work with service providers and your other professionals	Yes	No
For our marketing purposes - to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes - information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes - information about your creditworthiness	No	We don't share
For our affiliates to market to you	No	We don't share
For nonaffiliates to market to you	No	We don't share

Questions?

Call 713-623-6600 or go to www.finsyn.com

Who we are

Who is providing this notice?

Financial Synergies Wealth Advisors, Inc.

What we do

How does Financial Synergies Wealth Advisors protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

We also maintain physical, electronic, and procedural safeguards and limit employee and agent access to those with a business or professional need to know.

How does Financial Synergies Wealth Advisors collect my personal information?

We collect your personal information, for example, when you

- enter into an advisory relationship or give us your account information
- tell us about your income, finances, financial goals, or health information
- direct us to process transactions or coordinate with your other professionals

We also collect your personal information from others, such as consumer reporting agencies, financial service companies, and other third parties, on an as-needed basis.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes - information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

Financial Synergies Wealth Advisors does not share your information in these ways. State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Financial Synergies Wealth Advisors does not share personal information with affiliates.

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Nonaffiliates may include brokerage firms or custodians, financial service companies, consultants, auditors, plan administrators, lenders, accountants, attorneys, and regulators, as permitted by law or at your direction.

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- Financial Synergies Wealth Advisors does not jointly market.

Other important information

We do not provide your personal information to mailing list vendors or solicitors. We maintain personal information while you are a client and for the period required by federal and state securities laws and applicable professional standards. After the required retention period, the information will be destroyed. We apply this notice to current and former clients.