FINANCIAL SYNERGIES
WEALTH ADVISORS

PRIVACY POLICY

This notice is being provided to you in accordance with the Securities and Exchange Commission's rule regarding the privacy of consumer financial information ("Regulation S-P"). Please take the time to read and understand the privacy policies and procedures that we have implemented to safeguard your non-public personal information.

Financial Synergies Wealth Advisors, Inc., a registered investment advisory firm, is committed to safeguarding the confidential information of its clients. We hold all personal information provided to our firm in the strictest confidence. These records include all personal information that we collect from you in connection with any of the services provided by Financial Synergies Wealth Advisors, Inc.

We do not disclose any non-public personal information about our clients or former clients to anyone, except as permitted by law. As you know, we use health and financial information that you provide to us to help you meet your personal financial goals while guarding against any real or perceived infringements of your rights of privacy. Our policies with respect to non-public and personal information are listed below:

- We limit employee and agent access to information only to those who have a business or professional reason for knowing, and only to non-affiliated parties as permitted by law. (For example, federal regulations permit us to share a limited amount of information about you with a brokerage firm in order to execute securities transactions on your behalf, or so that our firm can discuss your financial situation with your accountant or lawyer.)
- We maintain physical, electronic, and procedural safeguards to protect your non-public personal information in accordance with federal standards.
- The categories of non-public personal information that we collect from a client depend upon the scope of the client engagement. It will include information about your personal finances, information about your health to the extent that it is needed for the planning process, information about transactions between you and third parties, and information from consumer reporting agencies.
- For unaffiliated third parties that require access to your personal information, including financial service companies, consultants, and auditors, we also require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted under law.
- We do not provide your non-public personal information to mailing list vendors or solicitors for any purpose.
- We will provide non-public personal information to third parties such as plan administrators, lenders, accountants, and attorneys upon your request, with your written or verbal consent in accordance with our internal policies and procedures.
- Non-public personal information about you will be maintained during the time you are a client, and for the required time thereafter that such records are required to be maintained by federal and state securities laws, and consistent with the CFP Board Code of Ethics and Professional Responsibility. After this required period of record retention, all such information will be destroyed.